



1761  
Docket No. IFF-21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : WEN CHIN LOU, et al

Serial No. : 10/054,239

Art Unit: 1761

Filed : October 22, 2001

Examiner: Leslie A. Wong

For : HYDROXYPROPYL CELLULOSE ENCAPSULATION MATERIAL

I hereby certify that this correspondence is being deposited with the  
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October 30, 2003

(Date of Deposit)

JOSEPH F. LEIGHTNER

(Name of applicant, assignee, or Registered Representative)

  
(Signature)

October 30, 2003

(Date of Signature)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the

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above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with §1.97(e) is set forth below; or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

☒ Certification in Accordance with §1.97(e) is set forth below; or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification in Accordance with §1.97(e) as set forth below and the fee of \$130.00 as set forth in §1.17(i)(1).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No.           , filed           .

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.


☐ The relevance of those listed references which are not in the English language is as follows:

☐ There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to  
Deposit Account No. 12-1295. This form is submitted in  
triplicate.



Respectfully submitted,

  
JOSEPH P. LEIGHTNER  
Reg. No. 34,209  
Attorney for Applicants

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joseph.leightner@iff.com


Date: October 30, 2003  
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CERTIFICATION UNDER 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(e), certification is  
hereby made that:

☒ Each item of information contained in the information  
disclosure statement was cited in a communication from a foreign  
patent office in a counterpart foreign application not more than  
three months prior to the filing of the Information Disclosure  
Statement.

☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in connection with a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

  
\_\_\_\_\_  
JOSEPH E. LEIGHTNER  
Reg. No. 34,209  
Attorney for Applicants

Form PTO-1449 <b>FIRST SUPPLEMENTAL INFORMATION</b> <b>DISCLOSURE CITATION IN AN APPLICATION</b>	Docket No.	Serial No.
	IFF-21	10/054,239
	Applicant WEN CHIN LOU	
	Filing Date	Group Art Unit
	October 22, 2001	1761



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## U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date

## FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	1 034 705A2 ✓	9/13/00	Europe			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	WO 02/102173A2 ✓	12/27/02	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	WO 00/59314 ✓	10/12/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	0 492 981A1 ✓	12/19/91	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	WO 94/14330 ✓	7/7/94	PCT			<input type="checkbox"/>	<input type="checkbox"/>

OTHER REFERENCES (include author, title, date, pertinent pages, etc.)


Examiner:	Date Considered
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Form PTO-1449

**FIRST SUPPLEMENTAL INFORMATION  
DISCLOSURE CITATION IN AN APPLICATION**


Docket No.

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## FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	WO 95/01166. /	1/12/95	PCT				
	0 277 741A1	1/25/88	Europe				

## OTHER REFERENCES (include author, title, date, pertinent pages, etc.)


Examiner:

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.